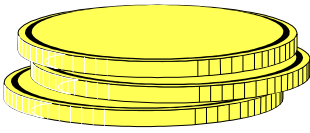


DON'T trust any advice until you autopsy the **PROFILE** of the practitioner behind it!



investorism.com

PROFILE: Financial Products "Advisor = Salesperson" "Fiduciary"

NAME: _____ LICENCE(S): _____ (__ / __ / __)

TOTAL Client Assets: \$ _____ GROSS Annual Comm.: \$ _____ Yr: _____

TOTAL Client Assets By Dollar (\$) Amounts Within The Following Investment Categories	TOTAL Client \$\$ Assets By % Per Asset Category	TOTAL Annual Income by % Yr. Ending __ / __ / __
------------------------------------------------------------------------------------------	--------------------------------------------------------	--------------------------------------------------------

FUNDS: Mutual, Index, Pooled, Wrap, ETF (# ___) _____ % _____ %

AIM Trimark	55%		
Fidelity	30%		
Templeton	10%		
Other	___%		
Total	100%	= Mutual ___% + Hedge ___% + Pooled ___% + Wrap ___% + ETF ___%	

COMMON STOCKS (# ___) _____ % _____ %

ETF's	15%
TSE	35%
NYSE/AMEX	24%
NASDAQ	18%
Other	___%
Total	100%

FIXED INCOME _____ % _____ %

Strips	35%
MBS	30%
Bonds	20%
Income Trusts	5%
Other	___%
Total	100%

SHORT SALES _____ % _____ %

OPTIONS, DERIVATIVES, FUTURES _____ % _____ %

Covered Calls	100%
Futures	NIL%
All Other	___%
Total	100%

LIMITED TAX PARTNERSHIPS _____ % _____ %

REAL ESTATE INVESTMENT TRUST'S _____ % _____ %

INSURANCE PRODUCTS _____ % _____ %

Annuities	55%
Life & Health	25%
Property	10%
Disability	5%
Other	___%
Total	100%

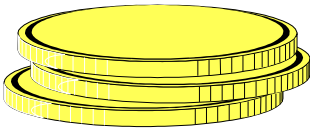
TOTALS 100% 100%

FROM # ___ Names of Insurance Companies Where Policies Are Placed, The Lion's Share Are With:

<u>Annuities</u>		<u>Life & Health</u>		<u>Property</u>		<u>Disability</u>	
Ind. Alliance	45%	TransAmer	65%	ABCD	80%	Paul Rev	100%
Crown Life	30%	Canada Life	25%	EFGH	15%		
Standard	20%						
Other	___%	Other	___%	Other	___%	Other	___%
Total	100%	Total	100%	Total	100%	Total	100%

"Better disclosure induces 'investorism' and enhances industry integrity!"

DON'T trust advice until you autopsy the **PROFILE** of the practitioner! / /



investorism.com

PROFILE: Financial Products **“Advisor = Salesperson”** **‘Fiduciary’**

NAME: _____ **LICENCE(S):** _____ (/ /)
TOTAL Client Assets: \$ _____ **GROSS Annual Comm.:** \$ _____ **Yr:** _____

TOTAL Client Assets By Dollar (\$) Amounts Within The Following Investment Categories	TOTAL Client \$\$ Assets By % Per Asset Category	TOTAL Annual Income by % Yr. Ending <u> </u> / <u> </u> / <u> </u>
FUNDS: Mutual, Index, Pooled, Wrap, ETF (# <u> </u>)	_____ %	_____ %
_____ %		
_____ %		
_____ %		
Other _____ %		
Total 100% = Mutual <u> </u>% + Hedge <u> </u>% + Pooled <u> </u>% + Wrap <u> </u>% + ETF <u> </u>%		

COMMON STOCKS (# <u> </u>)	_____ %	_____ %
ETF's _____ %		
TSE _____ %		
NYSE/AMEX _____ %		
NASDAQ _____ %		
Other _____ %		
Total 100%		

FIXED INCOME	_____ %	_____ %
Strips _____ %		
MBS _____ %		
Bonds _____ %		
Income Trusts _____ %		
Other _____ %		
Total 100%		

SHORT SALES _____ % _____ %

OPTIONS, DERIVATIVES, FUTURES	_____ %	_____ %
Covered Calls _____ %		
Futures _____ %		
All Other _____ %		
Total 100%		

LIMITED TAX PARTNERSHIPS _____ % _____ %

REAL ESTATE INVESTMENT TRUST'S _____ % _____ %

INSURANCE PRODUCTS	_____ %	_____ %
Annuities _____ %		
Life & Health _____ %		
Property _____ %		
Disability _____ %		
Other _____ %		
Total 100%		

TOTALS 100% 100%

FROM # Names of Insurance Companies Where Policies Are Placed, The Lion's Share Are With:

<u>Annuities</u>	_____ %	<u>Life & Health</u>	_____ %	<u>Property</u>	_____ %	<u>Disability</u>	_____ %
_____ %		_____ %		_____ %		_____ %	
_____ %		_____ %		_____ %		_____ %	
_____ %		_____ %		_____ %		_____ %	
Other _____ %		Other _____ %		Other _____ %		Other _____ %	
Total 100%		Total 100%		Total 100%		Total 100%	

“Better disclosure induces ‘investorism’ and enhances industry integrity!”